

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

19270 U.S. PTO
H0/748409



DATE: December 29, 2003

Docket No. : 51721/DBP/T360
Inventor(s) : Tomohiro Otani; Tetsuya Miyazaki; Shu Yamamoto
Title : OPTICAL RECEIVER AND OPTICAL ADD/DROP APPARATUS Duplicate X

FEE DETERMINATION

| CLAIMS AS FILED | | | | | |
|-------------------------------|-----------------|-----------------|----------------------|----------------------|-------|
| | NUMBER FILED | NUMBER EXTRA | SMALL ENTITY RATE | LARGE ENTITY RATE | FEE |
| TOTAL CLAIMS | 4 - 20 | = 0 | 0 x \$9.00 | 0 x \$18.00 | 0 |
| INDEPENDENT CLAIMS | 1 - 3 | = 0 | 0 x \$43.00 | 0 x \$86.00 | 0 |
| MULTIPLE-DEPENDENT CLAIMS FEE | | | \$145.00 | \$290.00 | 0 |
| BASIC FEE | | | \$385.00 | \$770.00 | 770 |
| TOTAL FILING FEE | | | | | \$770 |
| List Independent Claims: 1 | | | | | |

METHOD OF PAYMENT

X Payment Enclosed: Check for \$770 .

X The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By D. Bruce Prout

D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/djp

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 51721/DBP/T360
Inventor(s) : Tomohiro Otani; Tetsuya Miyazaki; Shu Yamamoto
Title : OPTICAL RECEIVER AND OPTICAL ADD/DROP APPARATUS
Express Mail Label No. : EV351237738US

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

December 29, 2003

1. ☒ **FEE TRANSMITTAL FORM** (*Submit an original, and a duplicate for fee processing*).

2. **IF A CONTINUING APPLICATION**

☒ This application is a divisional of patent application No. 09/607,186.

Prior application information: Examiner 09/607,186; Group Art Unit: 2633.

☐ This application claims the benefit of Provisional Application No. pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4).

3. **APPLICATION COMPRISED OF**

Specification

☒ 17 Specification, claims and Abstract (total pages)

Drawings

☒ 4 Sheets of formal drawing(s) (FIGS. 1 to 4)

Declaration and Power of Attorney

☐ Newly executed

☐ Unexecuted declaration

☒ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. ☐ **Microfiche Computer Program** (*Appendix*)

5. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)

☐ Computer Readable Copy

☐ Paper Copy (identical to computer copy)

☐ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR §1.27**

☐ Applicant(s) and any others associated with it/them under §1.27(a) are a SMALL ENTITY

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 51721/DBP/T360

7. ALSO ENCLOSED ARE


- ☐ Request and Certificate under 35 U.S.C. §122(b)(2)(B)(i) **Request for Non-Publication)**
- ☐ Preliminary Amendment
- ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☒ Information Disclosure Statement (IDS)/PTO/SB/08A/B
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other:

8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
D. Bruce Prout
Reg. No. 20,958
626/795-9900